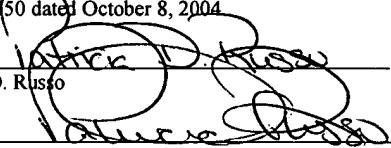
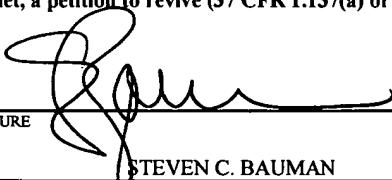


10-05-04

DT14 Rec'd PCT/PTO 04 OCT 2004



| | | |
|---|--|---|
| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-1390 (REV 11-98) | | ATTORNEY'S DOCKET NUMBER LC-477/PCT/US |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | |
| INTERNATIONAL APPLICATION NO. PCT/US03/08802 | INTERNATIONAL FILING DATE 24 March 2003 (24.03.03) | PRIORITY DATE CLAIMED 5 April 2002 (05.04.02) |
| TITLE OF INVENTION CURABLE FOAM ELASTOMERIC COMPOSITIONS | | |
| APPLICANT(S) FOR DO/EO/US: LIM, Thomas F; NAKOS, Steven T.; LIONBURGER James E. | | |
| <p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l). 4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). 8. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 9. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 10. <input checked="" type="checkbox"/> An unexecuted declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 13. to 19. below concern document(s) or information included:</p> <ol style="list-style-type: none"> 13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98, listing the documents cited in the International Search Report. 14. <input type="checkbox"/> Assignment documents for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input type="checkbox"/> A FIRST preliminary amendment. <ul style="list-style-type: none"> <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 16. <input type="checkbox"/> A substitute specification. 17. <input type="checkbox"/> A change of power of attorney and/or address letter. 18. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail. 19. <input checked="" type="checkbox"/> Other items or information: RETURN RECEIPT POSTCARD REQUEST INTL PATENT PUBLICATION WO 03/10/427 | | |
| <p style="text-align: right;"><u>Certificate of Express Mailing Under 37 C.F.R. §1.10</u></p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service to be Delivered by "Express Mail, Post Office to Addressee", with sufficient postage under Express Mailing Label EL545598959US, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 dated October 8, 2004.</p> <p style="text-align: center;">_____ Patricia D. Russo  Signature</p> | | |

| | | | | | | | | | | | | | | | | | | | | | | |
|---|---|--|--------------------------------------|--------------|------|--------------|----|---|------------|--------------------|---|---|------------|--|--|--|------------|--|--|--|--------------------------------------|----|
| U.S. APPLICATION NO. (If known, see 37 CFR 1.5.) 10NYA510458 | INTERNATIONAL APPLICATION NO. PCT/US/03/08802 | ATTORNEY'S DOCKET NUMBER LC-477/PCT/US | | | | | | | | | | | | | | | | | | | | |
| | | CALCULATIONS PTO USE ONLY | | | | | | | | | | | | | | | | | | | | |
| <p>20. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5):</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,110.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$950.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Fee (37 CFR 1.445(a)(2)) paid to USPTO \$790.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00</p> | | | | | | | | | | | | | | | | | | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | \$ 790.00 | | | | | | | | | | | | | | | | | | | | |
| <p>Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%;">CLAIMS</td> <td style="width: 25%;">NUMBER FILED</td> <td style="width: 25%;">NUMBER EXTRA</td> <td style="width: 25%;">RATE</td> </tr> <tr> <td>Total claims</td> <td>25</td> <td>5</td> <td>X \$ 18.00</td> </tr> <tr> <td>Independent claims</td> <td>9</td> <td>6</td> <td>X \$ 88.00</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>X \$300.00</td> </tr> <tr> <td colspan="3"></td> <td style="text-align: center;">TOTAL OF ABOVE CALCULATIONS =</td> </tr> </table> | | CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | Total claims | 25 | 5 | X \$ 18.00 | Independent claims | 9 | 6 | X \$ 88.00 | MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | X \$300.00 | | | | TOTAL OF ABOVE CALCULATIONS = | \$ |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | | | | | | | | | | | | | | | | | |
| Total claims | 25 | 5 | X \$ 18.00 | | | | | | | | | | | | | | | | | | | |
| Independent claims | 9 | 6 | X \$ 88.00 | | | | | | | | | | | | | | | | | | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | X \$300.00 | | | | | | | | | | | | | | | | | | | |
| | | | TOTAL OF ABOVE CALCULATIONS = | | | | | | | | | | | | | | | | | | | |
| <p>Reduction of ½ for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).</p> | | \$ --- | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL = | | \$ | | | | | | | | | | | | | | | | | | | | |
| <p>Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)).</p> | | \$ --- | | | | | | | | | | | | | | | | | | | | |
| TOTAL NATIONAL FEE = | | \$ | | | | | | | | | | | | | | | | | | | | |
| <p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</p> | | \$ --- | | | | | | | | | | | | | | | | | | | | |
| TOTAL FEES ENCLOSED = | | \$ 1408.00 | | | | | | | | | | | | | | | | | | | | |
| | | Amount to be: Refunded \$ Charged \$ | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | |
| <p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 12-2135 in the amount of \$ 1408.00 to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to my Deposit Account No. 12-2135. A duplicate copy of this sheet is enclosed.</p> | | | | | | | | | | | | | | | | | | | | | | |
| <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> | | | | | | | | | | | | | | | | | | | | | | |
| <p>SEND ALL CORRESPONDENCE TO:</p> <p>STEVEN C. BAUMAN HENKEL CORPORATION 1001 TROUT BROOK CROSSING ROCKY HILL, CT 06067 USA</p> | | | | | | | | | | | | | | | | | | | | | | |
|  SIGNATURE <hr/> STEVEN C. BAUMAN NAME | | | | | | | | | | | | | | | | | | | | | | |
| 33,832 <hr/> REGISTRATION NUMBER | | | | | | | | | | | | | | | | | | | | | | |

**TRANSMITTAL LETTER TO THE
UNITED STATES RECEIVING OFFICE**

| | |
|-------------------------------|--------------------|
| Date | March 24, 2003 |
| International Application No. | |
| Attorney Docket No. | 6740-44WO (LC-477) |

I. Certification under 37 CFR 1.10 (if applicable)

EV 266446624 US

Express Mail mailing number

March 24, 2003

Date of Deposit

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of person mailing correspondence

Elizabeth A. Dudek

Typed or printed name of person mailing correspondence

II. New International Application

TITLE CURABE FOAM ELASTOMERIC COMPOSITIONS

Earliest priority date
(Day/Month/Year)

05/04/2002

SCREENING DISCLOSURE INFORMATION: In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):

- A. The invention disclosed was not made in the United States.
- B. There is no prior U.S. application relating to this invention.
- C. The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or may not be claimed on form PCT/RO/101 (Request) and this listing does not constitute a claim for priority.)

| | | | |
|-----------------|------------|----------|------------|
| application no. | 60/370,520 | filed on | 05/04/2002 |
| application no. | | filed on | |

- D. The present international application contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C. above. The additional subject matter is found on pages _____ and DOES NOT ALTER MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15

III. A Response to an Invitation from the RO/US. The following document(s) is(are) enclosed:

- A. A Request for An Extension of Time to File a Response
- B. A Power of Attorney (General or Regular)
- C. Replacement pages:

| pages | of the request (PCT/RO/101) | pages | of the figures |
|-------|-----------------------------|-------|-----------------|
| pages | of the description | pages | of the abstract |
| pages | of the claims | | |

- D. Submission of Priority Documents

| | |
|-------------------|-------------------|
| Priority document | Priority document |
|-------------------|-------------------|

- E. Fees as specified on attached Fee Calculation sheet form PCT/RO/101 annex

IV. A Request for Rectification under PCT 91 A Petition A Sequence Listing Diskette

V. Other (please specify):

The person signing this form is the:

| | |
|---|----------------------|
| <input type="checkbox"/> Applicant | Marina F. Cunningham |
| <input checked="" type="checkbox"/> Attorney/Agent (Reg. No.) | Typed name of signer |
| 38,419 | |
| <input type="checkbox"/> Common Representative | Signature |